# Finance (FINA)

See Course Description Symbols and Terms (https://catalog.csuchico.edu/academic-standards-policies/course-description-symbols-terms/) for an explanation of course description terminology and symbols, the course numbering system, and course credit units.

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Units</th>
<th>GE</th>
<th>Prerequisite</th>
<th>Typically Offered</th>
<th>Course Attributes</th>
<th>Repeatability</th>
<th>Grade Basis</th>
<th>Course Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>FINA 101</td>
<td>Personal Financial Literacy</td>
<td>3</td>
<td>GE</td>
<td>GE Mathematics/Quantitative Reasoning Ready.</td>
<td>Fall and spring</td>
<td>Lower Division</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Graded</td>
<td>An introduction to personal finance, providing a background in the areas of time value of money, loans and savings plans, retirement savings, personal taxes, and inflation. The course strengthens quantitative reasoning skills including algebraic models and statistical data analysis. 3 hours lecture. (021899)</td>
</tr>
<tr>
<td>FINA 307</td>
<td>Survey of Finance</td>
<td>3</td>
<td></td>
<td>ACCT 201, ECON 103.</td>
<td>Fall and spring</td>
<td>Upper Division</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Graded</td>
<td>An introduction to financial management, providing a background in the areas of financial institutions, the time value of money, analysis of financial statements, working capital management, financial structure of the firm, capital budgeting, and related tools of financial analysis. 3 hours lecture. (003729)</td>
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<tr>
<td>FINA 351</td>
<td>Investments</td>
<td>3</td>
<td></td>
<td>FINA 307.</td>
<td>Fall and spring</td>
<td>Upper Division</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Graded</td>
<td>Investments from the point of view of the individual investor, security and non-security investment, analytical techniques; industry studies, and management of the personal portfolio. 3 hours discussion. (003730)</td>
</tr>
<tr>
<td>FINA 355</td>
<td>Financial Management: Intermediate Theory</td>
<td>3</td>
<td></td>
<td>FINA 307.</td>
<td>Fall and spring</td>
<td>Upper Division</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Graded</td>
<td>An integrated approach to financial management, including study of intermediate-level financial theory and its application to financial decision-making. 3 hours discussion. (003732)</td>
</tr>
<tr>
<td>FINA 369</td>
<td>Real Estate Finance and Investments</td>
<td>3</td>
<td></td>
<td>FINA 307.</td>
<td>Fall only</td>
<td>Upper Division</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Graded</td>
<td>A study of the institutions and methods involved in financing real property and an analysis of the problems, risks, and practices involved in financing and investing in real property. 3 hours lecture. (008115)</td>
</tr>
<tr>
<td>FINA 398</td>
<td>Special Topics</td>
<td>1-3</td>
<td></td>
<td>Department permission.</td>
<td>Fall and spring</td>
<td>Graded</td>
<td>You may take this course more than once</td>
<td>Credit/No Credit</td>
<td>This course is for special topics. Typically the topic is offered on a one-time-only basis and may vary from term to term and be different for different sections. See the Class Schedule for the specific topic being offered. 3 hours supervision. (003741)</td>
</tr>
<tr>
<td>FINA 399</td>
<td>Special Problems</td>
<td>1-3</td>
<td></td>
<td>Department permission.</td>
<td>Fall and spring</td>
<td>Graded</td>
<td>You may take this course for a maximum of 6 units</td>
<td>Upper Division</td>
<td>This course is an independent study of special problems and is offered for 1.0-3.0 units. You must register directly with a supervising faculty member. Students registering for this course should prepare a project proposal to be discussed with their faculty advisor before enrolling in the course. 0 hours independent study. (003742)</td>
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<tr>
<td>FINA 453</td>
<td>Estate Planning</td>
<td>3</td>
<td></td>
<td>FINA 307.</td>
<td>Fall and spring</td>
<td>Graded</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Graded</td>
<td>To give students a basic understanding of the major methods and techniques of preserving and transmitting an individual's wealth, including gift-giving, the use of various trusts, the use of life insurance, and the proper preparation of a will. Students will be expected to be aware of the causes and consequences of an inefficient transfer of wealth and therefore must acquire a working knowledge of the institutional constraints, including the law of property, wills, and intestate distribution, the probate process, and State and Federal estate, gift, inheritance, and generation-skipping transfer taxes. 3 hours lecture. (001047)</td>
</tr>
<tr>
<td>FINA 454</td>
<td>Financial Planning: Applications</td>
<td>3</td>
<td></td>
<td>FINA 307.</td>
<td>Fall and spring</td>
<td>Graded</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Upper Division</td>
<td>Overview of personal financial planning including retirement planning and wealth management. Topics include application of time value of money, personal financial statement development and assessment, investment planning and retirement planning; employee benefits. Also covered are ethics and practice standards in client/planner interaction. 3 hours discussion. (003731)</td>
</tr>
<tr>
<td>FINA 456</td>
<td>Risk and Insurance</td>
<td>3</td>
<td></td>
<td>FINA 307.</td>
<td>Fall and spring</td>
<td>Graded</td>
<td>You may take this course for a maximum of 3 units</td>
<td>Upper Division</td>
<td>Nature of risk and risk management; fundamentals of property, liability, and life contracts; types of companies; government regulation. 3 hours lecture. (003734)</td>
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*FINA 398, FINA 399, and FINA 453 require permission from the Department.*
FINA 458 Management and Regulation of Financial Institutions 3 Units
Prerequisite: FINA 460.
Typically Offered: Fall and spring
The objective of this course is to give students an understanding of the principles of asset, liability, and capital management as they apply to the management of depository and contractual financial intermediaries. The objective nature and importance of regulations are also discussed. 3 hours discussion. (003736)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 3 units
Course Attributes: Upper Division

FINA 459 International Finance 3 Units
Prerequisite: FINA 307.
Typically Offered: Fall and spring
An examination of the benefits of international trade; international financial institutions; the international monetary system, including exchange rate problems and prospects. 3 hours lecture. (003737)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 3 units
Course Attributes: Upper Division

FINA 460 Financial Institutions and Markets 3 Units
Prerequisite: FINA 307.
Typically Offered: Fall and spring
The financial process, financial institutions, and the role of financial markets in allocating funds, absorbing risk, and providing liquidity; the relationship among markets, competition, and efficiency; and financial intermediation in a changing financial system. 3 hours discussion. (003739)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 3 units
Course Attributes: Upper Division

FINA 480 Financial Management: Applications 3 Units
Prerequisite: FINA 355.
Typically Offered: Fall only
Case studies and other experiential techniques are used to develop insight and provide experience in the application of financial theory to such areas as working capital management, capital budgeting, capital structure determination, and dividend policy. 3 hours discussion. (003733)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 3 units
Course Attributes: Upper Division

FINA 481 Investment Analysis and Policy 3 Units
Prerequisite: FINA 351.
Typically Offered: Fall and spring
Principles of security valuation, the development of portfolio construction, and the relationship between investment principles, investment policies, and investment management. 3 hours discussion. (003735)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 3 units
Course Attributes: Upper Division

FINA 487 Understanding the Securities Industry 3 Units
Prerequisite: FINA 351.
Typically Offered: Spring only
This is an exam preparation course covering topics related to the General Securities Representative Exam (Series 7), the most commonly held registration in the U.S. securities industry. This course exposes students to topics currently covered by the Series 7 examination including (but not limited to) corporate, equity/fixed-income securities, local/state/federal government securities, derivative securities, and investment company products. This course is not affiliated with FINRA, the Series 7 exam provider, and does not exempt student from the examination eligibility requirements. Upon successful completion of the course, students earn a certificate representing their knowledge of concepts essential to passing the Series 7 Exam. 3 hours discussion. (021935)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 3 units
Course Attributes: Upper Division

FINA 498 Special Topics in Finance 1-3 Units
Prerequisite: Senior standing.
Typically Offered: Fall and spring
This course is for special topics offered for 1.0-3.0 units. Typically the topic is offered on a one-time-only basis and may vary from term to term and be different for different sections. See the Class Schedule topic is offered on a one-time-only basis and may vary from term to term and be different for different sections. See the Class Schedule for the specific topic being offered. For advanced students who wish to investigate business problems in specialized areas. Application of research methods. 3 hours supervision. (003746)
Grade Basis: Graded
Repeatability: You may take this course more than once
Course Attributes: Upper Division

FINA 499 Special Problems 1-3 Units
Prerequisite: Faculty permission.
Typically Offered: Fall and spring
This course is an independent study of special problems. You must register directly with a supervising faculty member. 3 hours supervision. (003747)
Grade Basis: Credit/No Credit
Repeatability: You may take this course for a maximum of 6 units
Course Attributes: Upper Division

FINA 499H Honors in Finance 3 Units
Prerequisite: Faculty permission.
Typically Offered: Inquire at department
This 3-unit course consists of a comprehensive research study and paper dealing with business policy and strategy, and the integrative and international aspects of business operations, especially as they pertain to the field of finance. A final written report and a public presentation of findings are both requirements of this course. Business Honors Program students must receive at least a B in this course for Honors credit. Students seeking "Honors in the Major" will enroll in this course for two semesters. 9 hours supervision. (003748)
Grade Basis: Graded
Repeatability: You may take this course for a maximum of 6 units
Course Attributes: Upper Division
<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Units</th>
<th>Prerequisite</th>
<th>Typically Offered</th>
<th>Survey of academic literature in managerial finance, with particular emphasis on recent developments in theory and application. 3 hours seminar. (003751)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FINA 655</td>
<td>Seminar in Financial Management</td>
<td>3 Units</td>
<td>Classified MBA student.</td>
<td>Spring only</td>
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<td></td>
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<td></td>
<td>Grade Basis: Graduate Graded Repeatability: You may take this course for a maximum of 3 units Course Attributes: Graduate Division</td>
</tr>
<tr>
<td>FINA 689</td>
<td>Directed Internship</td>
<td>1-3 Units</td>
<td></td>
<td>Fall and spring</td>
<td>This course is an internship. You must register directly with a supervising faculty member. Study of policy, control, and decision-making in selected organizations, arranged and supervised by a member of the graduate faculty in close working relationship with the management of an organization. 9 hours supervision. (003756) Grade Basis: Credit/No Credit Repeatability: You may take this course for a maximum of 15 units Course Attributes: Graduate Division</td>
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<tr>
<td>FINA 697</td>
<td>Independent Study</td>
<td>1-4 Units</td>
<td></td>
<td>Fall and spring</td>
<td>This course is a graduate-level independent study. You must register directly with a supervising faculty member. 9 hours supervision. (003760) Grade Basis: Report in Progress: Graded Repeatability: You may take this course for a maximum of 6 units Course Attributes: Graduate Division</td>
</tr>
<tr>
<td>FINA 698</td>
<td>Special Topics in Finance</td>
<td>1-3 Units</td>
<td></td>
<td>Fall and spring</td>
<td>This course is for special topics. Typically the topic is offered on a one-time-only basis and may vary from term to term and be different for different sections. See the Class Schedule for the specific topic being offered. 0 hours lecture. (021758) Grade Basis: Graduate Graded Repeatability: You may take this course for a maximum of 9 units Course Attributes: Graduate Division</td>
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<tr>
<td>FINA 699T</td>
<td>Master's Thesis</td>
<td>1-3 Units</td>
<td></td>
<td>Fall and spring</td>
<td>You must register directly with a supervising faculty member. 9 hours supervision. (003761) Grade Basis: Report in Progress: CR/NC Repeatability: You may take this course for a maximum of 6 units Course Attributes: Graduate Division</td>
</tr>
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